
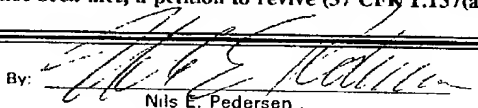


FORM PTO 1300 (REV 5-95) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER 2001-0076A
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		U.S. APPLICATION NO. 09/7787121
International Application No. PCT/JP01/00382	International Filing Date January 22, 2001	Priority Date Claimed October 24, 2000
Title of Invention POLISHING APPARATUS		
Applicant(s) For DO/EO/US Kenji KAMIMURA; Norio KIMURA; Satoshi OKAMURA; Hideo AIZAWA; Makoto AKAGI; Katsuhiko TOKUSHIGE; Hisanori MATSUO; and Manabu TSUJIMURA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <b>ATTACHMENT A</b> b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)). <b>ATTACHMENT B</b> 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)). a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19. 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). <b>ATTACHMENT C</b> 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)). Items 11. to 14. below concern other document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <b>ATTACHMENT D</b> 13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <b>ATTACHMENT E</b> <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. 14. <input type="checkbox"/> Other items or information:		

U.S. APPLICATION NO. (PCT/JP01/00382) NEW <span style="font-size: 2em; font-weight: bold;">097787121</span>		INTERNATIONAL APPLICATION NO. PCT/JP01/00382		ATTORNEY'S DOCKET NO. 2001-0076A															
15. [X] The following fees are submitted				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">CALCULATIONS</td> <td style="width:50%;">PTO USE ONLY</td> </tr> <tr> <td colspan="2" style="height: 150px;"></td> </tr> </table>		CALCULATIONS	PTO USE ONLY												
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<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00 International Search Report has been prepared by the EPO or JPO ..... \$ 860.00 International preliminary examination fee not paid at USPTO but international search paid to USPTO ..... \$ 710.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 690.00 International preliminary examination fee paid at USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">ENTER APPROPRIATE BASIC FEE AMOUNT =</td> <td style="width:50%;">\$1000.00</td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		ENTER APPROPRIATE BASIC FEE AMOUNT =	\$1000.00												
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Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(c)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$</td> <td style="width:50%;"></td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		\$													
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<b>TOTAL OF ABOVE CALCULATIONS =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$1400.00</td> <td style="width:50%;"></td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		\$1400.00													
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[ ] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$</td> <td style="width:50%;"></td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		\$													
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$40.00</td> <td style="width:50%;"></td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		\$40.00													
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<b>TOTAL FEES ENCLOSED =</b>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$1440.00</td> <td style="width:50%;"></td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		\$1440.00													
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a. [X] A check in the amount of \$1440.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed b. [ ] Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees A duplicate copy of this sheet is enclosed c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Amount to be refunded</td> <td style="width:50%;">\$</td> </tr> <tr> <td style="width:50%;">Amount to be charged</td> <td style="width:50%;">\$</td> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> </table>		Amount to be refunded	\$	Amount to be charged	\$										
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<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																			
19. CORRESPONDENCE ADDRESS  <div style="text-align: center;">   <span style="font-size: 1.5em; font-weight: bold;">000513</span>  <small>PATENT TRADEMARK OFFICE</small> </div>			By:  Nils E. Pedersen, Registration No. 33,145  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006 Phone: (202) 721-8200 Fax: (202) 721-8250																
March 14, 2001																			

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

[CHECK NO

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